Class IV Therapy Lasers: Maximizing the Primary Effects of Laser Therapy

by Dr. Julian Vickers, DC, DABCO, DACAN and Dr. Phil Harrington, DC

A rapidly growing number of progressive health care providers are adding Class IV therapy lasers to their clinics. By maximizing the primary effects of the photon-target cell interaction, Class IV therapy lasers are able to produce impressive clinical results and do so in a shorter period of time. A busy office interested in providing a service that helps a variety of conditions, is cost-effective, and is being sought out by an increasing number of patients should give a serious look at Class IV therapy lasers.

First theorized by Albert Einstein in 1916, and invented by Theodore Maiman in 1960, the laser has become one of the most beneficial inventions used in modern society. For the clinician, the most exciting use was first discovered by Hungarian physician Endre Mester, who performed experiments on cancerous tumor in rats. He found the laser didn't kill tumor cells because it was underpowered for that purpose, but rather it accelerated wound healing in the surgical sites of the experimental rats, as well as causing the shaved hair to regrow more quickly.

Therapy lasers have been used and researched extensively in Europe for more than 30 years. However, the United States Food and Drug Administration (FDA) only cleared a low level laser in 2002, and the first class IV therapy laser in 2003. Low Level Laser Therapy (LLLT) and it's known effects have already been reviewed extensively in this journal. The most important clinical and therapeutic difference between Class IV Laser therapy and LLLT is that the Class IV is able to produce a primary biostimulative effect on deeper tissues than lower powered lasers while also producing substantial secondary and tertiary effects.

The FDA approved indications for use of Class IV laser include the following: relief of muscle and joint aches, pain and stiffness; relaxation of muscles and muscle spasms; temporary increase in local blood circulation; and relief of pain and stiffness associated with arthritis.¹

Lasers are classified by output power and hazard to the eye, with the potential for thermal injury being the guiding mechanism. The Maximal Permissible Exposure or MPE is the level of laser radiation to which a person may be exposed without hazardous effects in the eye or skin. A system of hazard classification has been developed and is part of the ANSI Standard and State Regulations, however it is usually more convenient to establish safety controls based on the laser class than use of the exposure limits. In general, Class IIIa lasers have power output of 1 to 5 milliWatts (1-5mW), Class IIIB includes those up to 500 mW, or 0.5 Watts, and Class IV lasers include all of those above 0.5 Watts.

The classification scheme makes no distinction between the Class IV therapy lasers, cosmetic and hair removal lasers, surgical lasers, and the military laser capable of shooting down a satellite. All of theese are greater than 500 mW, and therefore all of them are Class IV. Lumping together every laser with power output greater than 500 mW is somewhat unfortunate, and has led to misunderstandings and discussions on several state physician licensing boards. One state chiropractic board first balked at the notion of its members using Class IV lasers, assuming that the intended usage was hair removal or a cosmetic procedure. However, after proper education and demonstration with a Class IV Therapy Laser, the board unanimously approved the use of such devices when used in a manner consistent with the scope of practice.

The most common Class IV therapy laser uses a Gallium-Aluminum-Arsenide (GaAlAs) semiconductor diode to produce infrared laser beams capable of deep penetration into tissue. The diodes may produce continuous wave, or pulsation frequencies of 2-10,000 Hz with a 50% duty cycle. Typically the laser diodes are housed in a control unit, and the infrared laser beams are carried by a fiber optic cable, through which coherence is maintained. The beam produced by a Class IV therapy laser is not collimated; it is allowed to naturally diffuse at a 10-12^o angle. Spot sizes will range from 10 to 25 millimeters in diameter, giving spot areas of 0.8 to 5 cm². Common power densities will range from 0.4 to 3 W/cm².

Wavelength is the main determinant of the laser's depth of penetration into the tissue. Hemoglobin and melanin absorb photons at lower wavelengths and water absorbs those of higher wavelengths. There is an optical window around 790 nm, where the laser photons are absorbed least by these three components and penetrate the deepest. These deep penetrating infrared lasers are ideal for pain management therapy. Other factors affecting the depth of penetration are the technical design of the laser device and the treatment technique used.

There is no exact limit with respect to the penetration of the light, as the laser light gets weaker the further from the surface it penetrates. There is a point at which the laser photon density is so low that no biological effect of the light can be measured. The biologically effective depth of an infrared therapy laser – for primary photon-tissue interactions – is conservatively stated as four centimeters. PRACTICAL PAIN MANAGEMENT VOL. 8, ISSUE 7 SEPT 2008

Secondary and tertiary photobiomodulation effects will be observed much deeper, as well as systemically.

To summarize, the primary response is elicited when photons emitted by the laser reach the mitochondria and cell membranes of low lying cells such as fibroblasts where the energy is absorbed by chromophores and is converted to chemical kinetic energy within the cell. These primary effects are very predictable and are produced only by phototherapy.

Secondary reactions lead to the amplification of the primary actions. A cascade of metabolic effects results in various physiological changes at the cellular level such as changes in cell membrane permeability. Calcium is released from the mitochondria triggering changes in intracellular calcium levels which stimulates cell metabolism and the regulation of signaling pathways responsible for significant events required for wound repair such as cell migration, RNA and DNA synthesis, cell mitosis, protein secretion and cell proliferation.

Tertiary effects are induced at a distance from the cells in which the secondary events occur. Energized cells communicate with each other and with nonirradiated cells through increased levels of cytokines or growth factors. This results in intercellular communication and an increase in the immune response with the activation of T-lymphocytes, macrophages and number of mast cells. An increase in the synthesis of endorphins and decrease in bradykinin results in pain relief. The tertiary effects are the least predictable because they rely on intercellular interactions and a number of environmental variables.⁶

Chromophores absorb laser photons with wavelengths between 400 and 1100 nanometers, with those in the 790 nm neighborhood being the deepest penetrating, as discussed earlier. Photons incident on tissue will reflect, absorb, transmit or scatter. With a Class IV infrared laser, the scattered photons create an egg-shaped volume of treated tissue. The effective depth of penetration is roughly four centimeters, meaning that the primary interaction of photon with target cell will occur through that depth.

Dosage refers to the amount of energy per unit area applied to the tissue surface. Energy is measured in Joules, the area in square centimeters and thus the dosage in Joules per square centimeter, J/cm². The power of a laser is the rate of energy delivery and is measured in Watts, or milliwatts, and one Watt equals one Joule per second. Class IV therapy lasers have power output from 0.5 to 10 Watts. As an example, a laser operating at 6 Watts continuous wave would deliver 360 Joules in one minute,

PRACTICAL PAIN MANAGEMENT VOL. 8, ISSUE 7 SEPT 2008

and 180 J/min in pulsed mode. If the treatment area was 50 cm², the dosage would be $360 \text{ J} / 50 \text{ cm}^2$, or 7.2 J/cm² in continuous wave, and 3.6 J/cm² in pulsed mode.

Biostimulation has been reported with doses from as low as 0.001 J/cm2 to as high as 10 J/cm2. This wide range is explained by the vast differences in irradiating tissue cultures in a laboratory and treating a deep-lying condition in a clinical setting. The matter of correct dosage is very complicated, as a number of factors must be taken into account including wavelength, power density, type of tissue, condition of the tissue, acuteness or chronicity of the problem, pigmentation, treatment technique, etc. Nonetheless, there is a dosage window below which no biostimulation will occur and above which it is inhibited, most easily demonstrated in wound healing and stimulation of hair growth.⁷

Numerous studies have supported the use of higher doses of laser irradiation. In one study, irradiation of in vitro rabbit articular chondrocytes with 4-6 J/cm² demonstrated substantial biostimulation compared to control cultures⁸. In another, 13 J/cm² increased the number of chondrocytes and the thickness of the articular cartilage in immobilized rabbit knees⁹. Another study supports a dosage as high as 24 J/cm².¹⁰

Substantial amounts of the laser energy applied at the surface will be reflected, absorbed and scattered in the superficial tissues. If the target of laser therapy is several centimeters deep, a high dose at the surface will be reduced to a moderate dose in the zone of concern. At least 50% of the surface-applied energy will be lost, so a dosage of 10 J/cm² would be diminished to 5 J/cm² or less at a deep target.

Critics of high-powered laser therapy claim damage will occur in the overlying healthy tissue. It is said that surface doses of 10 J/cm² or more will be harmful. However, "(i)n the treatment of healthy, optimally working tissue, almost any dose can be used without noticeable macroscopic negative effects. This is the case in the use of surgical lasers cutting, evaporating and coagulating tissue, using very high power and energy densities. Right outside the destructive zone, very high levels of power density and dose occur, but this is not found to be negative."¹¹ In daily practice, hundreds of clinicians are safely treating thousands of patients every day with Class IV therapy lasers.

The current accepted dosage for deep-lying pain is 4-10 J/cm², when treated with a GaAlAs diode laser¹². Simple calculations show that if the condition being treated is lumbar pain, the area being treated could be 100 to 400 cm², and even more if it is accompanied by radiculopathy. This equates to a total treatment dosage of 400 to 4000 Joules. If the treatment device was a 500 mW laser, it would take

anywhere from 13 to 133 minutes to administer this dosage. However, a Class IV therapy laser could accomplish this task in less than 10 minutes.

The output wattage used with a Class IV therapy laser depends on a number of factors. A deeper target calls for a higher wattage, so that a sufficient number of photons produce the desired primary effects of photobiomodulation. For example, 2 Watts would be used for lateral epicondylitis, 5 Watts for cervical pain, or 7 Watts for lumbar pain. Clinical judgment would prompt the laser therapist to adjust these numbers higher or lower.

Class IV laser treatment is best delivered in a combination of continuous wave and various frequencies of pulsation. The human body tends to adapt to and become less responsive to any steady stimulus, so varying the pulsation rate will improve clinical outcomes¹⁴. In pulsed, or modulated mode the laser operates at a 50% duty cycle and frequency of pulsation can be varied from 2 to 10,000 times per second, or Hertz (Hz). The literature has not clearly distinguished which frequencies are suitable for various problems, but there is a substantial body of empirical evidence to support them. Differing frequencies of pulsation produce unique physiological responses from the tissue. Lower numbers, from 2-10 Hz are shown to have an analgesic effect. Mid-range numbers around 500 Hz are biostimulatory, with pulse frequencies above 2,500 Hz having an anti-inflammatory effect, and those above 5,000 Hz being anti-microbial and anti-fungal¹⁵.

An excellent treatment with a Class IV therapy laser would utilize several of these pulsation frequencies to produce a combination of analgesia, inflammation reduction and biostimulation. Effective clinical results come from finesse, rather than simply dumping high doses of continuous wave energy on the tissue.

During a Class IV laser treatment, the treatment wand is kept in motion during the continuous wave phase, and is pressed into the tissues for several seconds during laser pulsation. Patients feel a mild warmth and relaxation. Since tissue warming occurs from the outside-in, Class IV therapy lasers are safe to use over metal implants. After treatment, a clear majority of patients feel some change in their condition be it pain reduction, improved range of motion or some other benefit.

Since it is a powerful device certain safety precautions must be employed when using a Class IV therapy laser, with primary potential damage to the eyes. Infrared lasers are outside the visible spectrum so the blink reflex will not engage to protect the eyes thereby increasing the potential for damage. The Nominal Hazard Zone (NHZ) is defined as "the space within which the level of the direct,

reflected or scattered radiation during normal operation exceeds the applicable MPE¹⁶." The NHZ for Class IV therapy lasers is about 21 feet. Treatment with a Class IV therapy laser should never be performed in the open.

Safe operation is not difficult when the laser therapist has basic training in laser safety. Proper training and the use of wavelength specific protective goggles by everyone in the treatment room are required by the FDA. Also, one person must be designated the Laser Safety Officer for the facility. When these simple guidelines are followed the use of a Class IV therapy laser is extremely safe.

Therapy lasers have been an exciting addition to the health care treatment arsenal. The development of Class IV therapy lasers represents the next generation of light therapy. By maximizing the primary effects, Class IV therapy lasers are able to induce extremely rapid clinical responses. Progressive health care providers wanting to offer the latest technology to their clientele should investigate Class IV therapy lasers.

- 3. http://www.asu.edu/radiationsafety/laser/appn_C.html
- 4. Byrnes et al. *Light Promotes Regeneration and Functional Recovery and Alters the Immune Response After Spinal Cord Injury*, Lasers in Surgery and Medicine 9999:1 15 (2005).
- 5. Hode, L. Lasers That Heal. 2008. Grangesberg, Sweden: PrimaBooks, pp. 13-14.

^{1.} http://www.fda.gov/cdrh/pdf5/K050070.pdf

^{2.} American National Standard for Safe Use of Lasers in Health Care Facilities, ANSI Z136.3 – 2005, pg 6.

^{6.} Hawkins, D. Abrahamse, H. *Phototherapy* — *a treatment modality for wound healing and pain relief: African Journal of Biomedical Research*, Vol. 10 (2007); 99 – 109.

^{7.} Tuner J, Hode L. *The Laser Therapy Handbook*. 2004. Grängesberg, Sweden: Prima Books, pg 72-74. 8. Jia, YL and Guo, ZY. *Effect of low-power He-Ne laser irradiation on rabbit articular chondrocytes in vitro*. Lasers in Surgery and Medicine 2004; 34(4):323-8.

^{9.} Bayat M, Ansari A, Hekmat H.; *Effect of low-power helium-neon laser irradiation on 13-week immobilized articular cartilage of rabbits*. Indian Journal of Experimental Biology. 2004 Sep; 42(9):866-70.

^{10.} Moore P., Ridgeway T.D., Higbee R.G., Howard E.W. and Lucroy M.D. (2002) *Effect of wavelength on low intensity laser irradiation — stimulated cell proliferation in vitro*. Lasers Surg Med. 36(1), 8-12

^{11.} Tuner J, Hode L. *The Laser Therapy Handbook*. 2004. Grängesberg, Sweden: Prima Books, pg 73. 12. ibid.

^{13.} K-LaserUSA Training Manual and Treatment Atlas. 2008. Franklin, TN. pg. 22.

^{14.} Blahnick, J. Rindge, D. *Laser Therapy, A Clinical Manual.* 2003. Melbourne, FL. Healing Light Seminars, Inc. p. 27.

^{15.} Tuner J, Hode L. The Laser Therapy Handbook. 2004. Grängesberg, Sweden: Prima Books, pg 78.

^{16.} American National Standard for Safe Use of Lasers in Health Care Facilities, ANSI Z136.3 – 2005, pg 6.

PRACTICAL PAIN MANAGEMENT VOL. 8, ISSUE 7 SEPT 2008

Dr. Julian Vickers, DC, DABCO, DACAN graduated Palmer College of Chiropractic in 1978. In addition to caring for patients at the Laguna Beach Chiropractic Office, Dr. Vickers is an adjunct professor at the Southern California University of Health Sciences, and is the vice-president and educational chairman for the International Academy of Chiropractic Neurology. He has taught postgraduate classes in the US, Canada and Japan.

Dr. Phil Harrington, DC graduated Palmer College of Chiropractic in 1996. He had previously earned a BS in Physics from Iowa State University and taught high school physics for three years. Dr. Harrington is the Senior Vice-President and Training Supervisor for K-LaserUSA. He may be reached at <u>pharrington@k-laserusa.com</u>, or 866-595-7749 ext 104.